

Hello, Halo

The industry's leading platform for protective investing

Protective investments are the next step in portfolio construction. By going beyond simple mixes of stocks and bonds, with protective investments now available, investors can help minimize a portfolio's risk while improving its return potential.

Halo is on a *mission* to change the world of investing

We're democratizing access to protective investment solutions through transparency and efficiency with the help of time and cost saving technology.

This empowers advisors to better manage inherent market risks within wealth and retirement planning – and end clients/investors to sleep better at night as a result.

100+ talented professionals

40+ global banks & insurance partners

\$20 Bn+ in transactions since founding in 2016
(As of 2Q24)

Why Protective Investing?

Seek Diversification Without Sacrifice

Halo helps mitigate risk with diversifying strategies that do not sacrifice exposure to traditional asset & portfolio allocation approaches.

Potential for Enhanced Returns & Outcomes

New sources of return create the potential for outperformance, which can be a differentiator in a market where expected returns for traditional assets may be muted.

Potential to Improve Risk-Reward

Target growth, income, or downside protection with investment solutions designed to meet client goals, not beat the market.

Who We Help



Financial Advisors

Build wallet share. Grow assets. Differentiate with financial solutions in high demand. Halo helps advisors stand out in a crowded market.



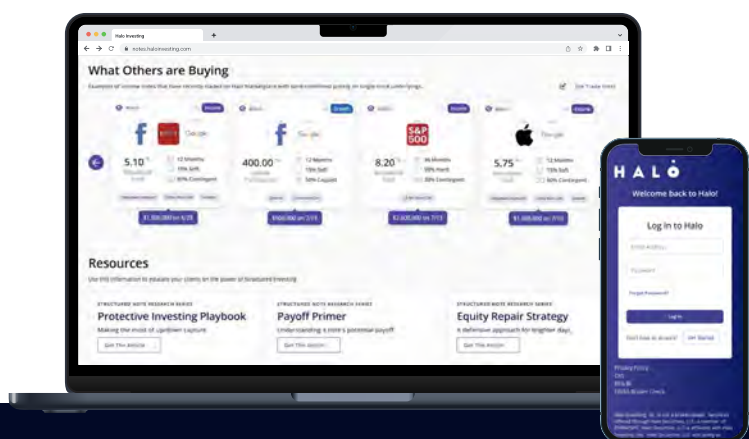
Financial Institutions - Enterprise

Advisors want new solutions. Compliance wants greater oversight. Halo's technology helps fuel advisor retention while helping enterprises innovate.

Unlocking the Halo Advantage

Investors today expect hyper personalized investment options. Despite significant demand, many advisors, and investment platforms, still cannot unlock this opportunity.

Halo's award-winning platform is changing this derivative-enabled financial solutions that compliment classic asset allocation and investment strategies.



Our Products



Structured Notes

Specialized investment products that may lower volatility, enhance upside participation, or generate income



Annuities

Insurance products with potential tax benefits & income stream opportunities



Fixed Income Investments


Offerings include fixed rate, fixed to floating rate, floating rate, and step-up Notes.




Structured Notes SMAs

The industry's first multi-manager Structured Note SMA marketplace

There's the hard way, and the *Halo way*

 haloinvesting.com

 info@haloinvesting.com

For more info scan here



Important Disclosure

Investors should be aware that there is often a cost to purchasing options (premium) to achieve leveraged returns with structured notes. In aggregate, that cost is reflected in structured note returns. Investors should be aware that structured notes do not capture returns from dividends on the underlying index. For example, the S&P 500 Index does not include dividends whereas an S&P 500 Index fund pays dividends (thus it is a total return product that captures both price returns and dividend income). The return difference between the S&P 500 Index, on which a structured note might be priced, and funds tracking it will grow larger (in favor of the funds) over time. Investors should be aware that structured notes have counterparty risk, and therefore, should require a higher return to be equivalent to an index fund on a risk-adjusted basis. Structured note pricing should include a risk premium to compensate investors for that added risk. Investors should be aware that structured notes have finite terms. An added burden and risk structured note holders face is reinvesting proceeds at maturity.

Investors should be aware that structured notes are less liquid than heavily traded exchange-traded funds (ETFs). Moreover, most mutual funds can be redeemed at Net Asset Value (NAV) each day. Investors are generally not compensated for reduced liquidity in structured products. Structured notes should be priced to account for their relative lack of liquidity. The notes referenced herein are for illustrative purposes. Other notes may or will have different return profiles, but the upper and lower performance constraints are substantially similar in most if not all cases.

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